

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Masato OKANO

International Appln. No.: PCT/JP2006/305985

Filed: Concurrently herewith

Attorney Dkt. No.: 60626.00023

For: WAVELENGTH FILTER

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Attention: PCT DO/EO/US

September 27, 2006

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R.1.97(b).

The references cited in the attached Form PTO-1449 were cited in the enclosed International Search Report issued by the Japanese Patent Office in connection with the above international application. It is understood that the Japanese Patent Office has sent a copy of each of the cited references to the U.S. Patent and Trademark Office. However, for the Examiner's convenience, a copy of each cited reference other than U.S. patents or U.S. patent publications are attached hereto.

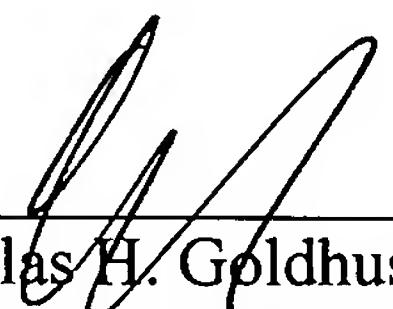
It is respectfully requested that the Examiner also make of record therein additional references cited in the attached Form PTO-1449 which were not cited in the search report.

10/594583

In view of the above, all requirements of 37 CFR 1.97 and all official guidelines pertaining to Information Disclosure Statements have been complied with, and it is therefore respectfully requested that the Examiner consider the references and make them of record in this application.

In the event that there are any fees due with respect to the filing of this paper, please charge Counsel's Deposit Account No. 50-2222.

Respectfully submitted,



Douglas H. Goldhush
Registration No. 33,125

Customer Number 32294
SQUIRE, SANDERS & DEMPSEY LLP
14TH Floor
8000 Towers Crescent Drive
Tysons Corner, Virginia 22182-2700
Telephone: 703-720-7800
Fax: 703-720-7802

DHG:kh

Enclosures: PTO-1449 Form
International Search Report
References (4)

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

60626.00023

SERIAL NO.

New Application

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT

Masato OKANO

10/594583

FILING DATE

September 27, 2006

GROUP

Not yet assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA	6,212,312	04/03/2001	Grann et al.			
	AB						
	AC						
	AD						
	AE						
	AF						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO PART.		
	AG	JP2002-258031	09/11/2002	Japan					X
	AH								
	AI								
	AJ								
	AK								

OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	AL	Wang, et al., "Theory and applications of guided-mode resonance filters," Applied Optics, Vol. 32, No. 14, May 10, 1993, pages 2606-2613
	AM	Jacob et al., "Flat-top narrow-band spectral response obtained from cascaded resonant grating reflection filters," Applied Optics, Vol. 41, No. 7, March 1, 2002, pages 1241-1245
	AN	Thurman, et al., "Controlling the spectral response in guided-mode resonance filter design," Applied Optics", Vol. 42, No. 16, June 1, 2003, pages 3225-3233

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.